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# Law Offices of JACOBSON HOLMAN

PROFESSIONAL LIMITED LIABILITY COMPANY
THE JENIFER BUILDING
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004

Attny's Docket No\_\_\_\_\_

## SMALL ENTITY DECLARATION [37 CFR 1.27(a)(1)-(3)]

itled to the benefits of "small entity":		
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ay tintab or ano tonothing.	status for paying reduced fees un	der 35 U.S.C. 41(a) and (b) to the Patent and Tradem
(4) ☐. Each undersigned declares tion, as defined in 37 CFR 1.27(a)(1)	that he/she qualifies as an inde	pendent inventor, or would qualify had he/she made
ern qualifies as a small business conc	ern as defined in 37 CFR 1.27(A)(2	d to act on behalf of the concern identified below, that 2); that exclusive rights to the invention have been conve sive, that all other rights belong to small entities as defi
(6) $\square$ The undersigned declares the rganization qualifies as a nonprofit o		d to act on behalf of the organization identified below,
(a) 37 CFR 1.27(a)(3)(i) and (	(ii)(A)	
(b) 37 CFR 1.27(a)(3)(i) and (	(ii)(B)	,
(c) 37 CFR 1.27(a)(3)(i) and (	(ii)(C) State law of	
(d) 37 CFR 1.27(a)(3)(i) and	(ii)(D); and	
	nave been conveyed to and remain	n with the organization, or if the rights are not exclusive,
(7) Each person, concern or organiz contract or law to assign, grant, con	ation to which I/we have assigned evey, or license any rights in the in	l, granted, conveyed or licensed, or am under an obligativention is listed below:
(a) no such person, concern	or organization	•
(b) □ persons, concerns or orga [a separate declaration is required fro status as "small entities."]	om <u>each</u> named person, concern o	r organization having rights to this invention avening to
ess		
☐ Individual	☐ Small Business Concern	☐ Nonprofit Organization
all entity prior to paying, or at the times as a small entity is no longer appro  Nowe hereby declare that all statemen	ne of paying, the earliest of the iss opriate. (37 CFR 1.28(b)) ts made herein of his/her own kno	tion of any change in status resulting in loss of entitler ue fee or any maintenance fee due after the date on water wheelings are true and that all statements made on informations.
ellet are believed to be true; and turt	or both, under Section 1001 of Tit	de with the knowledge that willful false statements so n le 18 of the United States Code and that such willful thereon, or any patent to which this declaration is dire
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unishable by fine or imprisonment, or	Signature	Date
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(8) Typed Name of Inventor	Signature Signature	Date
unishable by fine or imprisonment, or ments may jeopardize the validity of to the validity of	Signature Signature Signature	Date Date

### INSTRUCTIONS FOR THE COMPLETION OF SMALL ENTITY DECLARATION

Check box (1) if for use with application about to be filed. Check box (2) or (3) if for use with application already on file or Patent and complete U.S. Serial No. and Filing Date, or Patent No. and issue date, if known.

# CHECK ONLY ONE OF BOXES 4, 5 OR 6, WHICHEVER IS APPLICABLE:

Check box (4), individuals who are either: (a) an inventor or (b) a person who would qualify as an independent inventor had he/she made the invention, must sign and date at (8), if he/she have not, and are under no obligation to assign, grant, convey or license any right in the invention to any person who could not likewise be classified as an independent inventor if that person had made the invention or to any concern which would not qualify as a small business concern or non-profit organization (see below).

Check box (5), date, complete name of small business concern and authorized signatory, sign and complete his/her title at (9), if small entity status is claimed by virtue of inventor(s) rights having been, or being obligated to assign, grant, convey or license, to a concern whose number of employees, including those of its affiliates, does not exceed 500 persons. Concerns are affiliates when either controls, directly or indirectly, or has the power to control, the other, or a third party has the power to control both. Number of employees is average over fiscal year of those employed during each pay period, including full-time, part-time or temporary employees. If the small business concern has or is under obligation by contract or law to transfer any rights to another who cannot qualify as small entity, then small entity status not applicable.

Check box (6) and subsection (a), (b), (c) or (d), date, complete name of the nonprofit organization and authorized signatory sign and complete his/her title at (9), if small entity status is claimed by virtue of inventor(s) rights having been, or being obligated to, assign, grant, convey or license, to a nonprofit organization. Subsection (6)(a) to be checked if organization is university or other institution of higher learning; (6)(b) to be checked if organization of type described in Section 501(c)(3) of the IRS Code (Title 26, U.S. Code) and exempt from taxation under Section 501(a); (6)(c) to be checked if organization is nonprofit scientific or educational organization qualified under a statute of State of the U.S.; and (6)(d) to be checked if foreign organization and would qualify under (6)(b) or (6)(c) if such organization was located in the U.S.A. Fill in the State law under which the organization would qualify.

Check box (7)(a) or (b) as the facts dictate.

### IMPORTANT

- (1) Note that U.S. lawyers and agents cannot complete this document after signed. Therefore please completely fill it in before sending to us.
- (2) Each person, concern or organization that has an interest in this invention must sign one of the "Small Entity" Declarations. For example, if an inventor/employee has an obligation to assign to his employer, a corporation having fewer than 500 employees, which corporation has licensed this invention to a licensee corporation also having less than 500 employees, then three "Small Entity" declarations are necessary before the lesser fee can be paid: one from the inventor; one from an official of the employer; and one from an official of the licensee. In the declarations by the inventors and the employer paragraph 7(b) would have to be checked and filled in, the licensee would check paragraph 7(a).

We will be pleased to answer your questions. You may contact us in the following ways:

### JACOBSON HOLMAN PROFESSIONAL LIMITED LIABILITY COMPANY

TELEPHONE: TELFAX:

(202) 638-6666 (202) 393-5350 (202) 393-5351 (202) 393-5352

E-MAIL:

ip@jhip.com

# DECLARATION AND POWER OF ATTORNEY U.S.A.

ALL PATENTS, INCLUDING DESIGN
FOR APPLICATION BASED ON PCT: PARIS CONVENTION;
NON PRIORITY; OR PROVISIONAL APPLICATIONS

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	use attached	3 Specification		specification in applica	_	·				file	d			
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2	I hereby claim the	ne benefit under Title 35, Un	iited States Co	ode,§119(e) of any Uni	ited States provisio	nal appli	ication(s)	listed below:			•			
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Additional inventors are named on separately numbered sheets attached hereto.

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